

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

**1. Date of Submission:** 2010-03-19 12:31:57

**2. Agency:** 015

**3. Bureau:** 00

**4. Name of this Investment:** Consolidated Enterprise Identity Management (EIdM)

**5. Unique Project (Investment) Identifier:** 015-00-01-13-01-5202-00

**6. What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Enterprise Identity Management (EIdM) Investment brings together all Treasury planning and funding to successfully implement Identity and Access Management integration through a phased approach of these FICAM Initiatives: streamline collection and sharing of digital identity data; fully leverage PIV and PIV-interoperable credentials; modernize PACS Infrastructure; modernize LACS Infrastructure; and implement federated identity capability. In August 2004, Homeland Security Presidential Directive 12 (HSPD 12) was issued requiring all Federal Departments to “enhance security, increase Government efficiency, reduce identity fraud, and protect personal privacy”. Subsequently, the National Institute Of Standards And Technology (NIST) issued the Federal Information Processing Standard 201 (FIPS-201-1) which stipulates that credentials (Personal Identity Verification (PIV)) issued to employees be used for logical and physical access to all federal facilities and computing systems. The EIdM Investment central goal is to bring Treasury in compliance with these standards and requirements. Since 2007, the EIdAM Investment has focused on enrolling and issuing PIV cards to Treasury’s employees and contractors to comply with HSPD 12. More recently EIdM began addressing business needs and the transition to full PIV credentials usage for physical and logical access. Additionally, Treasury is committed to aligning its efforts to the recently published the Federal Identity, Credential, and Access Management Roadmap and Implementation Guidance, V1.0. The EIdM Investment continues to consolidate Treasury’s Identity Management Program which combines technical systems, rules, and procedures that define the ownership, utilization, and safeguarding of personal identity information. This effort enables users’ digital identity to be used across Treasury to support Logical Access Control Systems (LACS) and Physical Access Control Systems (PACS) utilization. To comply with OMB FY 2011 Budget Submission Passback directions, Treasury will conduct thorough gap analyses and utilize the Department’s ICAM Transition Plan to outline the EIdM Investment’s strategy in responding to the FICAM roadmap requirements. The EIdM Investment Exhibit 300 is continuously updated to reflect current cost and schedule performance.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

a. If "yes," what was the date of this approval? \*

**10. Contact information of Program/Project Manager?**

- Name: \*
- Phone Number: \*
- Email: \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

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## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
TFMS-HQ-08-K-0010	LH: Labor Hour	Y	2007-09-10	2007-10-01	2012-09-30	\$13.1	*	*	*	*	*
IRS TIRNO09T00046	FFP: Firm Fixed Price	Y	2009-08-01	2009-08-01	2014-08-31	\$10.3	*	*	*	*	*
TIRNO06D000260041	FFP: Firm Fixed Price	Y	2008-12-17	2008-12-17	2011-10-26	\$8.5	*	*	*	*	*
TIRNO08M00010	FFP: Firm Fixed Price	Y	2007-12-01	2007-12-01	2011-09-30	\$30.2	*	*	*	*	*
TCC-05-HQ-G-0015	FFP: Firm Fixed Price	Y	2004-12-07	2005-01-01	2013-09-30	\$28.5	*	*	*	*	*
IRS TIRNO08M00014	FFP: Firm Fixed Price	Y	2008-04-08	2008-04-08	2009-09-30	\$0.3	*	*	*	*	*
IRS TIRNO08M00015	FFP: Firm Fixed Price	Y	2008-10-01	2008-10-01	2010-09-30	\$0.0	*	*	*	*	*
IRS TIRNO99D000050200	CPFF: Cost Plus Fixed Fee	Y	2008-04-11	2008-04-28	2009-02-28	\$0.4	*	*	*	*	*
IRS Planned Requirement TO# TBD	FFP: Firm Fixed Price	Y	2009-11-30	2009-11-30	2011-11-29	\$0.0	*	*	*	*	*
TIRNO-09-C-00031	T&M: Time & Materials	Y	2009-04-20	2009-04-20	2010-04-20	\$0.3	*	*	*	*	*
10PA0048	FFP: Firm Fixed Price	Y	2009-10-01	2009-10-01	2010-09-30	\$1.6	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

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3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a. If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Management and Organizational Excellence	*	*	reduction in the number of redundant systems	TBD in FY 2008	TBD in FY 2008	To be Determined 1st Quarter FY 2010
2009	Management and Organizational Excellence	*	*	Percentage of access interoperability among Treasury Bureaus	0	9%	To be Determined 1st Quarter FY 2010
2009	Management and Organizational Excellence	*	*	Percentage of TEDS/PKI availability	99.7%	less than 97.7%	To be Determined 1st Quarter FY 2010
2009	Management and Organizational Excellence	*	*	Percentage of access failures	TBD in FY 2009	5%	To be Determined 1st Quarter FY 2010
2010	Management and Organizational Excellence	*	*	reduction in the number of redundant systems	Less than the FY 2009 actual	Based on FY 2009	To be Determined 1st Quarter FY 2011
2010	Management and Organizational Excellence	*	*	Percentage of access interoperability among Treasury Bureaus	9%	18%	To be Determined 1st Quarter FY 2011
2010	Management and Organizational Excellence	*	*	Percentage of TEDS/PKI availability	99.7%	less than 97.7%	To be Determined 1st Quarter FY 2011
2010	Management and Organizational Excellence	*	*	Percentage of access failures	5%	10%	To be Determined 1st Quarter FY 2011
2011	Management and Organizational Excellence	*	*	reduction in the number of redundant systems	Less than FY2010 actual	Based on FY 2010	To be Determined 1st Quarter FY 2012
2011	Management and Organizational Excellence	*	*	Percentage of access interoperability among Treasury Bureaus	18%	45%	To be Determined 1st Quarter FY 2012
2011	Management and Organizational Excellence	*	*	Percentage of TEDS/PKI availability	99.7%	less than 99.7%	To be Determined 1st Quarter FY 2012
2011	Management and Organizational Excellence	*	*	Percentage of access failures	10%	15%	To be Determined 1st Quarter FY 2012
2012	Management and Organizational Excellence	*	*	Reduction in the number of hours required to enroll agency personnel and contractors for PIV cards	Less than FY 2011 actual	Based on FY 2011	To be Determined 1st Quarter FY 2013

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2012	Management and Organizational Excellence	*	*	Percentage of access interoperability among Treasury Bureaus	99.7%	less than 99.7%	To be Determined 1st Quarter FY 2013
2012	Management and Organizational Excellence	*	*	Percentage of TEDS/PKI availability	45%	73%	To be Determined 1st Quarter FY 2013
2012	Management and Organizational Excellence	*	*	Percentage of access failures	15%	20%	To be Determined 1st Quarter FY 2013
2013	Management and Organizational Excellence	*	*	reduction in the number of redundant systems	Less than FY 2012 actual	Based on FY 2012	To be Determined 1st Quarter FY 2014
2013	Management and Organizational Excellence	*	*	Percentage of access interoperability among Treasury Bureaus	99.7%	less than 99.7%	To be Determined 1st Quarter FY 2014
2013	Management and Organizational Excellence	*	*	Percentage of TEDS/PKI availability	73%	100%	To be Determined 1st Quarter FY 2014
2013	Management and Organizational Excellence	*	*	Percentage of access failures	20%	25%	To be Determined 1st Quarter FY 2014

## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Identity and Access Management (IDAM)-Planning	\$10.6	\$2.5	2008-10-01	2007-10-01	2010-09-30		33.00%	53.00%
Personnel Identity Verification (PIV) Card Management - enrollment/issuance	\$44.2	\$28.3	2008-10-01	2007-10-01	2014-09-30		11.05%	81.00%
Physical Access	\$6.3	\$5.9	2013-10-01	2007-10-01	2014-09-30		0.00%	51.00%
Logical Access	\$5.9	\$5.9	2011-10-01	2007-10-01	2012-09-30		0.00%	36.00%
Operations and Maintenance	\$80.4	\$20.2	2008-10-01	2008-10-01	2014-09-30		10.00%	10.00%

\* - Indicates data is redacted.